



Sep. 21 2004

2004 10:47AM

BASF SOUTHFIELD PATENT DEPT

No.2048 P. 3/3

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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P.O. Box 1450  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26922 7590 09/03/2004

BASF CORPORATION  
ANNE GERRY SABOURIN  
26701 TELEGRAPH ROAD  
SOUTHFIELD, MI 48034-2442

09/22/2004 FFANIA3 00000062 233425 10049532

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Marjorie Ellis (Depositor's name)  
*[Signature]* (Signature)  
09/21/04 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/049,532	02/12/2002	Manuela Armbrust	IN-5551	3956

TITLE OF INVENTION: SOL-GEL COATING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$0	\$0	\$0	12/03/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHEUNG, WILLIAM K	1713	525-100000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE  
BASF Coatings AG(B) RESIDENCE: (CITY and STATE OR COUNTRY)  
Glasuritstr. 1  
48165 Munster  
Federal Republic of GermanyPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 03

## 4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 23-3425 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

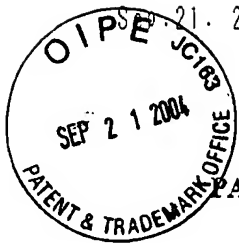
- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Michael MorganDate 4-20-04Typed or printed name Michael MorganRegistration No. 42,906

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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BASF SOUTHFIELD PATENT DEPT

No.2048 P. 1/3

(Practitioner's Docket No IN-5551)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

MANUELA ARMBRUST, et al.

US Serial No.: 10/049,532

Filed: 02/12/2002

For: SOL-GEL COATING

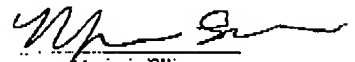
Group Art Unit: 1713

Examiner: CHEUNG, WILLIAM K.

I hereby certify that the attached correspondence is being transmitted via facsimile addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450, on the date shown below to Facsimile number (703) 746-4000

September 21, 2004

Date

  
Marjorie Ellis

Mail Stop ISSUE FEE  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF FACSIMILE TRANSMISSION**

Enclosed are:

Pages

☒ Part B- Fee(s) Transmittal submitted in duplicate

2



Total pages 03.